

Introduction to SAP Automated Controls

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Core Competencies Track – Session C31

Abstract:

The objective of this presentation is to introduce the participant to automated controls - what they are, how they contribute to comfort over the financial statements, how they differ from ITGCs, and how to think about (a) identifying them as well as (b) testing them. We will use real-world examples from JD Edwards and SAP to illustrate these concepts. These topics may be useful for participants in reviewing and identifying areas of opportunity to optimize their control environments.

Target Audience:

Target audience would be beginner to intermediate auditors, as well as individuals responsible for defining/establishing control expectations within their environments who are not as familiar with technology/automated controls as a concept (e.g. Director/VP/CxO).

COBIT Objectives:

All Monitor and Evaluate objectives.

Speaker Bio:

Sarah Thompson is a Manager in the PwC Systems Process Assurance practice in San Francisco. She has been with PwC since 2002, and has split her focus on public and private clients. In this capacity, she has worked on audits ranging from multi-national consumer goods clients to small, private enterprises, and has assisted her clients in identifying as well as optimizing their implementation of the automated controls available in their ERPs. Although she has worked with SAP, JDE, Oracle, and PeopleSoft, her area of expertise is the audit and controls of JD Edwards, with an emphasis on JDE World and E1.

K. C. Fike is a Manager within PwC's Systems and Process Assurance group. K. C. has over six years experience assessing, implementing, and testing SAP automated controls for multiple clients.