

## Session #S13

<b>Presenters:</b>	Erik Jonte, Brad Ames, Jessica Amezcuita
<b>Presentation Title:</b>	Continuous Monitoring for IT Audit
<b>Abstract:</b>	
<p>Continuous Control Monitoring (CCM) is a strategy to align indicators in IT General Controls and configurable application controls in order to gain a portfolio view of emerging risk across functions and business units. By benchmarking automated controls, the model provides assurance and isolates outliers for the purpose of deploying audit resources to risk that matters most. This session will discuss two experiences in Google Inc. and the Hewlett-Packard Company where the CCM benchmarking strategy is paying off. The segment, led by IT audit practitioners, will explain how the CCM techniques are accomplished through internal audit engagements.</p> <p>This course is focused on the use of automated and continuous methods for assessing IT compliance. The topics discussed will include strategies for gaining access to underlying data, techniques for processing the information into useful metrics / indicators, and how to integrate this new approach into an existing audit methodology. Attendees will gain an appreciation for the value of a continuous approach and will be armed with a list of resources that they can use to apply the techniques to their own departments.</p>	
<b>Target Audience:</b>	
<p>Skill level – Intermediate to Advanced Occupation: Audit / Security Experience: Senior to Manager</p>	
<b>COBIT Objectives:</b>	
<p>AI6, AI7, DS1, DS3, DS5, ME1, ME2, ME3,</p>	
<b>Speaker Bio:</b>	
<p><b>Erik Jonte</b> Mr. Jonte has over 5 years of experience in the Information Security and IT Audit disciplines. He has audited and consulted with over a dozen companies in Silicon Valley, and has held positions with Ernst &amp; Young, eBay, and Google. In addition, he has spent much of his tenure at Google developing automation strategies to improve the accuracy and efficiency of IT internal audit.</p> <p><b>Brad Ames, CPA, CISA</b> Ames is the Internal Audit SOX Director for the Hewlett-Packard Company in Palo Alto, California. Prior to joining HP in 2000, he was an Audit Manager for Transamerica Corporation and previously Computer Assurance Services Manager with Coopers &amp; Lybrand LLP, in Los Angeles.</p> <p>Brad's team is responsible for developing audit solutions and innovations for the purpose of measuring emerging risk in connection with application systems reliability, data center operations, and implementation projects. Brad directs the Sarbanes-Oxley (SOX) 404 Attestation effort on behalf of Internal Audit and works closely with HP's Enterprise Compliance Group. His role involves close collaboration with IT governance groups, customers and external auditors in order to gain an ongoing view of IT risk enterprise-wide. He is currently advancing continuous control modeling and measurement for the purpose of simplifying SOX 404 attestation.</p> <p>Brad is a CPA and Certified Information System Auditor with 10 years of experience in Public Accounting. He can be reached at <a href="mailto:brad.ames@hp.com">brad.ames@hp.com</a>.</p> <p><b>Jessica Amezcuita, CPA</b> Jessica is an Internal Audit Manager who joined Hewlett-Packard Internal Audit as an IT auditor in 2004 after working in public accounting. She has a degree in Economics from University of California, Santa Cruz and a graduate degree in Accounting from University of Texas, Austin. Jessica speaks Spanish and enjoys snowboarding, camping, cooking, hiking, enjoying nature, and spending time with family and friends.</p>	