

Session # G23

Presenter:	Stephen Spalding
Presentation Title:	Beyond SOX; The High Value Audit
Abstract:	
<p>This is an interactive discussion on “What are the aspects of a High Value Audit” in an organization, which SOX is no longer the center of focus. As such, the following points will be covered:</p> <ul style="list-style-type: none"> • Define “Value” from the Audit Committee prospective. • Consider what a risk model might like from the Audit Committee prospective, which maybe different that the classic business risk models. • Discuss Internal Audit’s changing relationship to SOX 	
Target Audience:	
<p>Anyone interested in the changing value expectations on the Internal Audit functions. Primarily, this would include:</p> <ul style="list-style-type: none"> • Current/future Internal Audit Management Team members • Risk management and compliance personnel • SOX project administrators and related personnel 	
COBIT Objectives:	
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Speaker Bio:	
<p>Stephen Spalding, Principal at Grant Thornton, LLP, is the Practice Leader for the BAS (Business Advisor Services) practice in the Bay Area which provides services related to information security, SAS70 reporting, internal audit, SOX compliance and internal control design/development.</p> <p>Prior to joining Grant Thornton, Stephen was the CEO of Vigilant Privacy Corporation which focused on providing enterprise technology solutions supporting a wide range of legal and compliance requirements, including Sarbanes-Oxley and HIPAA.</p> <p>Stephen has eighteen years of working experience in the “Big 4”, eleven of these as a Partner. In Deloitte, he developed the Bay-Area Enterprise Risk Services practice which included Internal Audit Services, Technology Control Assurance, Data Quality and Project Risk Management. He was one of the three founding partners of KPMG’s Information Risk Management practice. Prior to that, Stephen also established Internal IT Audit functions at two Fortune 100 companies.</p> <p>Stephen is currently also an instructor at San Francisco State University, where he teaches Internal Audit and Business Systems Management and Control at the Senior/Graduate Level. He holds an MBA in Quantitative Analysis from the University of Arizona, and B.S. degrees in Finance and Management, Physics (solid state) and Mathematics, from Eastern Illinois University.</p>	