



Session #C23

Presenters:	Sandee Lim and Kalpa Chobe
Presentation Title:	Intro to IT Operations Controls
Abstract:	This interactive session will delve into IT Operations and the associated general computer controls that result in a well controlled IT environment. The session will explore a variety of IT Operations topics including job processing, error handling and system monitoring, backup and recovery, help desk, among others. This session will also review recent developments in IT Operations controls and their impact on Enterprise Resource Planning applications such as SAP and Oracle.
Target Audience:	IT internal auditors who would like to learn basic of Operations and controls relevant for SOX.
COBIT Objectives:	Presentation will cover Manage Problems and Incidents and Manage Operations.
Speaker Bio:	<p>Sandee Lim – Senior Manager Deloitte & Touche Enterprise Risk Services</p> <p>Sandee Lim is a Senior Manager with Deloitte & Touche and has more than 8 years of experience in both the financial audit and information technology audit functions of the firm. Throughout this period, she has provided services such as information systems risk and control audits, financial audits, and SAS 70 audits. Sandee’s experience includes FDICIA requirements, Sarbanes Oxley 404, general computer controls, and reviews for internal control self assessment. During her time with Deloitte & Touche Sandee has served clients in a variety of industries, including financial services, consumer business, and the technology, media, and telecommunications. Sandee is a national Deloitte & Touche facilitator and has instructed for the ISACA, IIA, and other professional organizations. She has earned her MBA in Finance, a BS in Accounting and Finance, and is a CFE.</p> <p>Kalpa Chobe - Manager Deloitte & Touche Enterprise Risk Services</p> <p>Kalpa is a Manager in Deloitte & Touches ERS practice with over six years of professional experience in information systems auditing for external audit and internal audit projects. Her experience includes control assessment and business process auditing, security assessment and other information-technology related consulting. She has served a variety of industries including manufacturing and semiconductor, technology, retail and distribution, and not for profit. Kalpa has led and conducted a numerous Sarbanes-Oxley readiness engagements with a focus on SAP R/3 and Oracle application controls, specifically business process and technical infrastructure risk and control considerations on a variety of processing platforms. Kalpa is a national Deloitte instructor for SAP R/3 auditing courses and has also delivered seminars and conferences on “ERP Auditing.” Kalpa is CISA certified.</p>