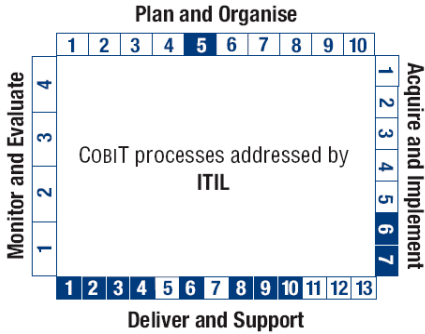


Session #C13

Presenters:	Chad Kalmes & Paulina Fraser
Presentation Title:	Beyond SOX: Adopting ITIL
<ul style="list-style-type: none"> Abstract: <p>Organizations are increasingly dependent upon IT to satisfy their corporate aims and meet their business needs. This growing dependency has been especially apparent with the introduction of Sarbanes-Oxley, as both business and IT have become accountable for the completeness and accuracy of financial statements. At the same time, IT departments are seen by the rest of the organization as cost centers and are being asked to increasingly cut costs.</p> <p>While ITIL was not conceived with SOX in mind, it does define the consistent, repeatable, auditable and verifiable practices needed to access and track the data vital for compliance. In our presentation, we will discuss how ITIL can help companies become SOX-compliant, while at the same time helping to reduce the costs of managing the IT organization and moving it from a reactive (firefighting) to proactive (managed) mode. We will talk about the benefits of implementing ITIL, address the links between ITIL- and SOX-compliance, and touch upon some potential problems that may be encountered during an ITIL implementation.</p>	
<ul style="list-style-type: none"> Target Audience: <ul style="list-style-type: none"> Skill level: Beginner Occupation: Audit Occupational Experience: Entry level 	
COBIT Objectives:	
	
Speaker Bio:	



San Francisco – 2007 ISACA Fall Conference Presenter Information

Chad Kalmes is a Senior Manager with Protiviti's Technology Risk practice in the Bay Area. He leads the Bay Area ITIL practice, co-leads the Bay Area security practice, and is a member of Protiviti's national security team. Chad has over 9 years of consulting experience, focusing on the relationship between business processes and technology, and assisting clients in defining and managing the risks associated with their IT environments. He has led numerous IT Strategy, Security & Privacy, ITIL, IT General Controls, and IT Audit engagements across all major industry groups. Aside from helping clients identify and manage their compliance with various state and federal regulations (Sarbanes-Oxley, HIPAA, GLBA, SB1386, PCI, etc.), he has assisted numerous clients with developing the roadmap for managing their IT organizations in a cost-effective and efficient manner. Chad graduated from the University of Notre Dame with a BBA in Management Information Systems (MIS) and focus in International Business. Chad currently holds his CISA and CISSP-ISSMP certifications. He is a member of the IIA, ISACA, ISC2, and the Silicon Valley Leadership Group (Federal Issues Committee).

Paulina Fraser is a Manager with Protiviti's Technology Risk practice in the Bay Area. She leads the Bay Area ITIL practice and is a member of the Application Controls Effectiveness (ACE) team. Paulina has over 5 years of consulting experience in the areas of risk management, technology management, and business process reengineering. She has led numerous ITIL, ACE, and IT General Controls audit engagements across all major industry groups. In addition, she has taught several classes on project management best practices and audit approach. Paulina graduated from Dartmouth College with a BA in Economics and obtained an MBA in Technology Management from the University of California, Davis. She currently holds CISA and PMP certifications and is a member of ISACA, IIA, and PMI.