

Session #C11

Presenter:	Steve Shofner
Presentation Title:	Introduction To IT Auditing For The Non-IT Auditor
Abstract:	
<p>This is an introductory-level course designed to provide a high-level overview of IT Auditing to non-IT Auditors. It will:</p> <ul style="list-style-type: none">• Define and discuss objectives, risks, and controls and focus on how similar/dissimilar they are between financial/operational audits & IT audits• Introduce the COBIT framework at a high-level• Discuss the relationship between automated controls (a.k.a. application controls) and IT general controls• Teach attendees how to test IT general controls in a simple environment• Identify characteristics of audit areas when non-IT auditors may want to bring in more expertise (knowing when to call in the experts)	
Target Audience:	
<p>Anyone interested in gaining a better understanding of what IT Auditors do, or anyone wanting to know how easy it can be to test general controls. Primarily, this would include:</p> <ul style="list-style-type: none">• Financial auditors• Business users responsible for 'desktop applications,' thereby responsible for supporting the audit of those applications• Business users (or anyone) that want to understand IT general controls and why they matter	
COBIT Objectives:	
<p>This course will introduce all COBIT areas, but will focus on the following: PO 1-3, 5-6, 8-10 AI 1-4, 6-7 DS 3-5, 8-13 ME 1-2</p>	
Speaker Bio:	
<p>Steve is the IT Audit Manager at Williams-Sonoma, Inc. He recently joined them after ten years of experience with PricewaterhouseCoopers and Ernst & Young, primarily performing external audits and SAS 70 audits. One of his roles with Ernst & Young was the Pacific Northwest Education Coordinator for their IT Audit group. He also spent a rotation in their National practice as a contributor to their IT audit methodologies. He has extensive experience working on integrated audits, which seek to maximize audit efficiencies by relying on IT controls to the fullest extent.</p>	