

Session S23 – Use of COBIT As A Risk Management & Audit Framework for Access Compliance

About the Speaker

Lance Turcato

Lance Turcato is the Managing Director of Information Security Administration (Access Assessment & Policy Compliance) at Charles Schwab & Co., Inc. Prior to this role, Lance was Managing Director of Technology Infrastructure & Security Oversight (Internal Audit Department) at Schwab from 1997 - 2003. Prior to joining Schwab, Lance was a Senior Consultant with Price Waterhouse LLP (PW) in the Enterprise Security Solutions group. Lance was also employed with Coopers & Lybrand LLP (C&L) as a member of the Firm's Resource Protection Practice for a number of years prior to joining PW.

Lance has extensive experience in reviewing internal user and technology controls for businesses in a wide variety of industries. His industry strengths include financial services, banking, construction and engineering, and higher education. Lance's security specialization focuses on security architectures from the perspective of policy and standards formation to the technical aspects of operating system security. Specifically, Lance has assisted in the development of security related policies and has utilized automated tools to evaluate system security configurations for several platforms including mainframe (TopSecret, RACF), UNIX (Solaris, AIX, HP-UX, SCO, Linux), Windows, Novell NetWare, AS/400 and VAX/VMS. Furthermore, Lance has evaluated security controls over networks, firewalls, and databases and has participated on "tiger teams" performing network penetration studies.

Lance actively served on the SF ISACA Board for a number of years in various capacities including President, 2nd Vice President, CISA Coordination Committee Chair, and Communication Committee Chair. Lance designed and developed the Chapter's current award-winning web site and was instrumental in enhancing the Chapter's CISA Review Course. Lance received the "President of the Millennium" Award in 1999. Lance is a CISA, CISM and CPA. Lance received a B.S. degree in Accounting from the University of Wyoming and a Masters Degree in Information Systems & EDP Audit from Arizona State University.